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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	Not yet assigned
Filing Date	April 21, 2004
First Named Inventor	Werner K. Goetz
Art Unit	Not yet assigned 2815
Examiner Name	Not yet assigned A. Wilson
Attorney Docket Number	LUM-03-07-04

Sheet 1 of 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
OW		US- 6,630,692 B2	10-7-2003	Goetz et al.	
		US- 6,635,904 B2	10-21-2003	Goetz et al.	
		US- 6,285,696 B1	9-4-2001	Bour et al.	
		US- 6,265,289 B1	7-24-2001	Zheleva et al.	
		US- 6,599,362 B2	7-29-2003	Ashby et al.	
		US- 2003/0085409 A1	5-8-2003	Shen et al.	
OW		US- 6,455,337 B1	9-24-2002	Sverdlov	
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Sub**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² Number ³ Kind Code ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁵
OW		JP 411243251 A	9-7-1999	Toshiba Corp		

CLASS
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Examiner Signature	A. Wilson	Date Considered	8/11/05
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PTO/SB/088 (08-03)

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Sheet 1	1	of	1	Attorney Docket Number	LUM-03-07-04

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
AK		Shuji NAKAMURA et al., "InGaN/GaN/AlGaIn-based laser diodes with modulation-doped strained-layer superlattices grown on an epitaxially laterally overgrown GaN substrate," Appl. Phys. Lett. 72, (2), 12 January 1998, pp. 211-213.	
AK		D.M. FOLLSTAEDT et al., "Minimizing threading dislocations by redirection during cantilever epitaxial growth of GaN," Applied Physics Letters, Vol. 81, No. 15, 7 October 2002, pp.2758-2760.	

Examiner Signature	<i>A. Wilson</i>	Date Considered	8/11/05
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